



UKSIF is the membership association for sustainable and responsible financial services. We promote responsible investment and other forms of finance that support sustainable economic development, enhance quality of life and safeguard the environment. We also seek to ensure that individual and institutional investors can reflect their values in their investments.

UKSIF Financial Adviser members have the option of joining the Ethical Investment Association (EIA), a separate chapter of UKSIF for financial advisers, at no extra cost. Further information about the EIA is on the following page.

## Benefits of UKSIF Membership

UKSIF Members are able to:

- Participate in the UK-based network representing the broadest set of stakeholders in the sustainable finance sector.
- Benefit from involvement in industry initiatives such as Good Money Week ([www.goodmoneyweek.com](http://www.goodmoneyweek.com)).
- Highlight your organisation's services in our membership directory available at [www.uksif.org](http://www.uksif.org).
- Use the UKSIF logo on your website and marketing materials.
- Receive invitations to networking opportunities, such as our AGM and social events, as well as to events organised by UKSIF members and our partners.

## Statement of Principles

By joining UKSIF, members subscribe to the following statement of principles:

- Members agree to support the work of and to disseminate information about UKSIF and the work of its members.
- Members commit to assist, either individually or with other UKSIF members, in improving the public's understanding of sustainable and responsible finance in supporting the theory and practice of sustainable and responsible finance.
- Members strive to act with a high degree of personal integrity, maintaining honourable relationships with colleagues, clients and all those who rely on the member's professional judgement and skills.
- Members seek continually to maintain and improve the knowledge, skills and competence relevant to their profession and be diligent in the performance of their occupational duties.
- Members make an affirmative commitment to apply honest, thorough and diligent methods of research and evaluation.
- Members should obey the laws and regulations relevant to their profession and should avoid any conduct or activity that would cause unjust harm to others.
- Members should use their membership in a manner consistent with the UKSIF Statement of Principles.



## The Ethical Investment Association

The Ethical Investment Association (EIA) is a network of financial advisers from around the UK dedicated to the promotion of green and ethical investment. Members are committed to increasing access to green and ethical investment advice for individuals, businesses and charities, and to improving their own professional knowledge.

### Benefits of EIA membership

- Access to a network of experienced advisers to help you develop your business in this market.
- Help and advice for financial advisers from financial advisers who understand your day job.
- Support and assistance through EIA conferences and member email communications.
- Your directory listing on the EIA website and the "Find a Financial Adviser" search function.
- Ability to use ready-made green and ethical investment marketing literature.
- Reduced subscription rate to Ethical Performance and other member discounts.

### EIA Code of Conduct

- We undertake, at all times, to act with integrity and conduct my/our business to a high professional standard.
- We undertake to fulfil the financial planning needs of my/our clients to the best of my/our ability and, where appropriate, co-operate with others whose services are related to meeting the needs of my/our clients.
- We undertake to ensure that I/we continually improve my/our knowledge with particular emphasis on green and ethical investment.
- We undertake to avoid any action likely to knowingly prejudice the financial well being of my/our clients.
- We undertake to present accurately and honestly all the facts essential to my/our clients' decisions.
- Corporate members - we agree to provide the Steering Group with a copy of our standard Fact Find and the separate ethical questionnaire, if requested.

**All EIA members must sign up to the EIA code of conduct.**

### Next steps

- 1 Return your completed form to UKSIF, 83/84 Salisbury House, 29 Finsbury Circus, London EC2M 7AQ, or via e-mail using the "Submit via Email" button on the top left corner of page 3. We will contact you to acknowledge receipt of your application.
- 2 Applications for membership must be approved by our Board of Directors, which takes on average one to two months. Unless we advise you otherwise, you will receive in the meantime most membership benefits and an invoice for the membership fee. In the event that your application is rejected by the board, we will refund your fee in full.
- 3 Once your application is approved by the board, we will contact you again to confirm your organisation's membership and provide log-in details to the members' section of the website. At this point, we will also ask you for the names of additional colleagues who would like to receive information from us regarding your member benefits.

**Any questions? Call us on +44 (0) 207 749 9950, or email us at [membership@uksif.org](mailto:membership@uksif.org).**



## Financial Adviser Membership

Financial advisers may join UKSIF and the EIA either as organisations or as individuals. To join as an individual/sole trader, you must have an active FCA Individual Reference Number and not be working for an organisation with two or more members of staff.

### Name of applicant

**Organisation**      **Individual**

### Address

### City/Town

### Post Code

### Website

I/We would like to be a member of the Ethical Investment Association at no extra cost and I/we agree to abide by the EIA Code of Conduct as described on page two of this form.

### FCA Firm Reference Number

### FCA Individual Reference Number

## Main Contact

UKSIF will contact the following person via email regarding your organisation's or your individual member benefits and involvement in UKSIF governance, eg voting forms for our AGM. If applying as an organisation, you will have the opportunity to provide additional colleagues contact details to receive member benefits once your membership is approved.

### Name

### Email Address

### Telephone

## Annual Membership Fees - Financial Advisers

**Full time staff of 50 or more:** £2,085 + VAT (£2,574)

**Full time staff of 20-49:** £1,070 + VAT (£1,284)

**Full time staff of 10-19:** £555 + VAT (£666)

**Full time staff of 2-9:** £280 + VAT (£336)

**Sole Trader/Registered Individual:** £140 + VAT (£168)

## Affiliation

For organisations that would like to benefit from UKSIF's services, but that do not wish to subscribe to UKSIF's statement of principles, UKSIF offers affiliate status. Affiliates are not eligible to participate in the governance of UKSIF and may not use the UKSIF logo. Affiliate fees are equal to membership fees.

I am applying for affiliate status (same membership fees apply).

## Declaration

We apply for UKSIF membership and agree to be bound by the Memorandum and Articles of Association of UKSIF (unless applying for affiliation).

### Name

### Signature

### Date

## Method of Payment

### Cheque enclosed

Please make cheque payable to:  
UK SUSTAINABLE INVESTMENT AND FINANCE  
ASSOCIATION

### Invoice me the appropriate fee

## Information for website

Following Board approval of your membership, your organisation's contact details and logo will be added to the membership directory on our website. Please provide the details you would like to be displayed. This could be an individual's contact details, or more generic details.

### Contact

### Telephone

### Email Address

### Website

### Address

Please include a copy of your logo as an attachment when you return this form by email.

You can fill in this PDF form and email it to us using the "Submit by Email" button at the top of this form. Alternatively, please return your completed form to UKSIF, 83/84 Salisbury House, London EC2M 7AQ.

**Any questions? Call us on +44 (0) 207 749 9950, or email us at [membership@uksif.org](mailto:membership@uksif.org).**